

**Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository**

**Annexure – B**

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites.

**For the month -Nov 2024**

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time ^ (in days)
						Pending for less than months	Pending for more than months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0		
2	SEBI (SCORES)	1	0	0	1	0		
3	Stock Exchanges	0	0	0	0	0		
4	Depository	0	0	0	0	0		
5	Other Sources (if any)	0	0	0	0	0		
6	Grand Total	1	1	0	2	0		

**Trend of monthly disposal of complaints**

**2024-25**

SN	Month	Broker				Depository			
		Carried forward from previous month	Received	Resolved*	Pending**	Carried forward from previous month	Received	Resolved*	Pending**
1	Apr-24	1	0	0	1	0	1	1	0
2	May-24	1	1	1	1	0	0	0	0
3	Jun-24	1	1	1	1	0	2	2	0
4	Jul-24	1	2	3	0	0	0	0	0
5	Aug-24	0	3	2	1	0	0	0	0
6	Sep-24	1	3	4	0	0	0	0	0
7	Oct-24	0	1	0	1	0	0	0	0
8	Nov-24	1	1	2	0	0	0	0	0
			12	13	0		3	3	0

Trend of annual disposal of complaints

SN	F.Y. Year (April-March)	Broker				Depository			
		Carried forward from previous year from previous month	Received during the year	Resolved during the year	Pending at the end of the year	Carried forward from previous year from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	4	4	0	0	0	0	0
2	2021-22	0	11	9	2	0	0	0	0
3	2022-23	2	9	11	0	0	2	2	0
4	2023-24	0	19	19	1	0	3	3	0
5	<b>2024-25</b>	<b>1</b>	<b>12</b>	<b>13</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>